**TO TSZ YEUNG, Vincent** (杜子揚)

Phone: (Mobile) +852 9162 8216   
Email Address: **cuhkvincent@gmail.com**

|  |  |
| --- | --- |
| **Summary / Career Aspiration** |  |
| Having 4 year in house financial and accounting experience in the asset management industry and 5 year audit experience in PwC, Vincent wishes to pursue comprehensive role in the secondary market, focusing on fund reporting, operation and the product development. He looks for a challenging job which could capture the opportunity of the increasing demand over the asset management arisen from the international of China capital market. He expects an open-for-discussion environment where his inputs in enhancing business process efficiency are valued. He also seeks short-term out-of-HK working opportunity in which he can widen his horizon. He expects to play a key role in the company in 3-5 year time. | |
|  |  |
| **Professional Qualifications** |  |
| Member of Hong Kong Institute of Certified Public Accountants | |
|  |  |
| **Work Experience** |  |
| **Finance Manager, CEL Limited** | Mar 2014 -Present |
| Financial Conglomerate (PE, Secondary market funds, Licensed corporation)  Focusing on Secondary market funds in asset management arm   * + To prepare financial statements and fully responsible for the audit, tax and advisory matters of secondary market funds (QFII/RQFII, Cayman hedge fund, Quantitative fund) related to secondary market funds and proprietary trade portfolio in both Asset Management Department and Investment Management Department   + To provide finance and accounting advisory services to secondary market funds/investments in Asset Management Department and Investment Management Department, including client/seed money injection & redemption, fund setup cost arrangement, trade/IPO verification, information support to client request   + To Liaise with Asset Management Department for any matters relating to fund setup/new product launch, including reviewing the term of the offering memorandum, advise the on the management fee structure, fee collection process and impact on the group balance sheet (on/off balance sheet)   + To perform independent review over Fund NAV reconciliation (Internal system record vs external administrator record) prepared by the Operation Department, verify the fee calculation/accruals record and monitor the collection of various fees by the group companies (for example, management and trailer fee incurred within the secondary market fund/proprietary trade portfolio)   + To supervise and review the journal entries and financial statements prepared by accounting officers on the Proprietary trade portfolio   + To prepare monthly AUM and fund performance summary of each funds for the management reporting purpose   + To prepare monthly FRR of the Investment Manager (SFC licensed corporation) and monitor its Liquid Capital requirement   + To assist development of the accounting system - Oracle and oversee system integration process;   + To assist development and incorporate the NAV reconciliation system - LineData into our existing system like daily reporting/monthly reporting requirement   + To assist Department Director to manage CEL interim and annual audit process and preparation of schedules to facilitate completion of group audit |  |

|  |  |
| --- | --- |
| **Work Experience (Continued)** |  |
| **Finance Manager, CSOP Asset management limited** | May 2012 – Mar 2014 |
| Licensed Corporation/Hedge Fund: CSOP Asset Management Ltd   * + Prepare daily cash position report and fund performance report for the management review   + Conduct and review the daily settlement process for the funds’ transactions   + Prepare and review the daily/monthly fee calculation   + Responsible for the NAV reconciliation of the funds and report to the fund director on monthly basis   + Play a major role in ad-hoc project with involvement in coordinating internally with client solution team/sales/portfolio manager/compliance, and externally with the administrator and auditor in Fund pass-porting, channel management, risk management, valuation policy update and regulatory update   + The “Fund representative” in the department to answer different enquiries channelled from sales team/ client solution team/compliance team in relation to CSOP’s managed fund   + Assist and advise in the project in corporation cash flow management development including receivable management, departmental budget monitoring, overseeing the cash position and the expenses claiming procedures and co-ordinate with banker for settlement   + Liaison with the administrators for issues over the fund NAV and the payment approval process   + Responsible for the Corporation accounting including preparation of the daily accounting record and the monthly consolidated financial statements and report the findings to the CEO in the HK company and also designated person in the PRC Parent company   + Liaise with the PRC Parent company for the QDII fee settlement |  |
| **Senior Associate, Financial Services, PricewaterhouseCoopers** | Sep 2007 – May 2012 |
| Licensed Corporation/Hedge Fund: CSOP Asset Management Ltd   * + Act as the role of Team-in-charge which involves planning the audit strategy, agreeing timeline and deliverables with clients, assist in reviewing the financial statements and leading a team of 3 to perform the audit field work   + Identify the potential accounting issues including consolidation of the CSOP Asset Management Ltd over the Luxemburg funds and EJF fund, going concern of the GCAR in the interim audit so that any assessment could be pushed forward to meet tight deadline in final audit   + Liaise with PwC Tax team to handle ad hoc request in estimating the tax effect of client late bonus adjustment   + Liaise with PwC Tax team to perform the testing over the deeming provision of the CSOP over GCAR | |
|  | |
| Private Equity Fund – Income Partner Funds   * + Private Equity Setup in 2009 with investments focusing on the 4S Car Dealership in Mainland China   + Act as the role of acting manager for audit year 2011 which involves preparing the budget, agreeing the audit strategy and initiate the fee proposal with Partner and client management, reviewing the audit workdone by the senior associate/associate, reviewing the financial statements, co-ordinate with HK client, PRC client and PwC GZ for the interoffice clearance which is further used for PwC HK consolidation audit work   + Suggest the improvement in the financial reporting process by i) initiating and agreeing different milestones with the client ii) preparing and presenting the template with HK and PRC client finance team in Shenzhen based on PwC audit knowledge to assist client in preparing the note disclosure in the consolidated financial statements   + Act also as the team-in-charge for the audit year 2009 and 2010 and be rewarded the exceptional performance in both years (less than 10% over all the appraisal within PwC are granted with this grade) | |

|  |  |
| --- | --- |
| **Work Experience (Continued)** | |
| Hedge/Private equity funds : Avenue Asia Capital Limited   * + Head-Quartered in US with AUM of US1.3 billion for 6 funds setup in Asia region   + Act as the role of Team-in-charge which involves planning the audit strategy, agreeing timeline and deliverables with clients, co-ordinate the interoffice communication and deliverables, assisting in the review of the financial statements and leading a team of 4 to perform the audit field work   + Assess risk of material misstatements and design the appropriate testing over the private equity investments and non-performing loans through i) discussion with managing directors, ii) reviewing the investment agreements iii) initiate internal team discussion iv) performing background search and reviewing and news search on investee companies   + Perform the tax assessment over the SPV-investment structure in various jurisdictions in accordance with the accounting standard (ASC 740-10)   + Assist to prepare presentation materials for the Audit Clearance Meeting, including the audit feedback over the valuation methodology, the going concern assessment over the funds | |
| Investment Bank – BNP Paribas Wealth Management Section   * + Act as the role of Team-in-charge which involves i) Evaluation of the control effectiveness through sample testing and suggest recommendations for improvements ii) Handling ad-hoc request from the PwC Finance Team which is the head auditor of the BNP Group   + Co-ordinate with various parties in the preparation of the internal interoffice deliverables and external audit deliverables | |
| Hedge Fund: Doric Funds under Composite Capital Group   * + Two Cayman Hedge Funds with AUM of US 40 million and primary investment focus on Equity/Bond investments, mixed with some derivative product in the Asia Pacific Region   + Act as the role of Team-in-charge which involves performing the control over the NAV reconciliation, Sales and Purchase Cycle, investment guideline compliance & subscription/redemption cycle   + Design and perform the appropriate substantive testing | |
| HKMA Engagement: Lehman-Brother Investigation team   * + Lead a team of 5 in logging and review the preliminary processing the complains logged by the team received from the public   + Outbound calls to of selected cases to get more details about the story of the complainants | |
|  |  |
| **Skills and International exposure** |  |
| * + Skills: Competent with MS Excel, MS Word, MS PowerPoint   + International Exposure:   8 Weeks engagement for Avenue Asia Capital Group in Singapore (2011-2012)  1 Year student-exchange in Peking University (2005-2006) | |
|  |  |
| **Languages** |  |
| * + Spoken: Native Cantonese, Proficient in English, Conversational in Mandarin   + Written: Proficient in Chinese and English | |
|  |  |
| **Educational Qualifications** |  |
| * + Post Graduate Diploma of the Accounting, The City University of Hong Kong (graduated in 2007) | |
| * + Bachelor of Business Administration with Concentration in Finance and Marketing, The Chinese University of Hong Kong (graduated in 2007) | |
|  |  |
| **Expected Salary** |  |
| * + Yearly remuneration of HKD700,000 – 780,000 | |
| **Notice Period** |  |
| * + Negotiable | |